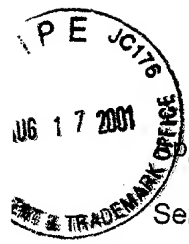# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ittai Korin

Serial No.: 09/866,965

For: METHOD AND SYSTEM FOR ANALYZING PERFORMANCE OF AN INVESTMENT PORTFOLIO TOGETHER WITH ASSOCIATED RISK

Filed: May 29, 2001

Examiner: Not Yet Assigned

Art Unit: 2164

Confirmation No.: 7385

Attorney Docket No.: 160.7067USU

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Dear Sir:

TRANSMITTAL OF NOTICE TO FILE CORRECTED APPLICATION PAPERS

Transmitted herewith are the following documents pertaining to the above-identified application:

___ Declaration and Power of Attorney form executed by _____;

___ The undersigned attorney verifies that the applicant is entitlted to a Small Entity Status;

X __22__ Sheets of Formal Drawings;

___ An Assignment of the Invention to : _____, including $40.00 recordation fee and Assignment Recordation Cover Sheet;

___ A Certified Copy of the Priority Application;

___ Information Disclosure Statement (Form - 1449); and

X A copy of the Notice to File Corrected Application Papers.

___ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee $710.00
Total Claims	51 - 20 =	31	x $18.00	$558.00
Independent Claims	3- 3 =	0	x $80.00	$0
Multiple Dependent Claim Fee		x $270.00 = $0.00		
TOTAL FILING FEE		$PAID		

1/2 FILING FEE FOR SMALL ENTITY	$

___ A check in the amount of $_____ to cover the surcharge is enclosed.

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467.** A duplicate copy of this Form is enclosed.

August 15, 2001
Date

Paul D. Greeley, Esq.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
Attorney for Applicant(s)
Registration No. 31,019
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Telefax: (203) 327-6401

CERTIFICATE OF MAILING
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON AUGUST 15, 2001.

Joanne A. Romaniello
NAME.

SIGNATURE

8/15/01
DATE

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AUG 17 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/866,965	05/29/2001	Korin Ittai	160.7067USU

CONFIRMATION NO. 7385

FORMALITIES LETTER

OC000000006348020

Paul D. Greeley, Esq.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, CT 06901-2682

Date Mailed: 07/26/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE